

For your reference  
**PATENT COOPERATION TREATY**

PCT/JP99/07180

**PCT**

**NOTIFICATION OF RECEIPT OF  
RECORD COPY**

(PCT Rule 24.2(a))

From the INTERNATIONAL BUREAU

To:

KUSANO, Takashi  
Sagami Building  
2-21, Shinjuku 4-chome  
Shinjuku-ku, Tokyo 160-0022  
JAPON

Date of mailing (day/month/year) 13 January 2000 (13.01.00)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference 11F054	International application No. PCT/JP99/07180

The applicant is hereby notified that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

KORG INCORPORATED (for all designated States except US)  
MOTOHASHI, Haruhiko et al (for US)

International filing date : 21 December 1999 (21.12.99)  
Priority date(s) claimed : 24 December 1998 (24.12.98)  
Date of receipt of the record copy  
by the International Bureau : 04 January 2000 (04.01.00)  
List of designated Offices :

National : JP,US


**ATTENTION**

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

In addition, the applicant's attention is drawn to the information contained in the Annex, relating to:

- ☒ time limits for entry into the national phase
- ☒ confirmation of precautionary designations
- ☒ requirements regarding priority documents

A copy of this Notification is being sent to the receiving Office and to the International Searching Authority.

<b>The International Bureau of WIPO</b> 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. (41-22) 740.14.35	Authorized officer:  Y. KUWAHARA   Telephone No. (41-22) 338.83.38
---	---

**INFORMATION ON TIME LIMITS FOR ENTERING THE NATIONAL PHASE**

The applicant is reminded that the "national phase" must be entered before each of the designated Offices indicated in the Notification of Receipt of Record Copy (Form PCT/IB/301) by paying national fees and furnishing translations, as prescribed by the applicable national laws.

The time limit for performing these procedural acts is **20 MONTHS** from the priority date or, for those designated States which the applicant elects in a demand for international preliminary examination or in a later election, **30 MONTHS** from the priority date, provided that the election is made before the expiration of 19 months from the priority date. Some designated (or elected) Offices have fixed time limits which expire even later than 20 or 30 months from the priority date. In other Offices an extension of time or grace period, in some cases upon payment of an additional fee, is available.

In addition to these procedural acts, the applicant may also have to comply with other special requirements applicable in certain Offices. It is the applicant's responsibility to ensure that the necessary steps to enter the national phase are taken in a timely fashion. Most designated Offices do not issue reminders to applicants in connection with the entry into the national phase.

For detailed information about the procedural acts to be performed to enter the national phase before each designated Office, the applicable time limits and possible extensions of time or grace periods, and any other requirements, see the relevant Chapters of Volume II of the PCT Applicant's Guide. Information about the requirements for filing a demand for international preliminary examination is set out in Chapter IX of Volume I of the PCT Applicant's Guide.

GR and ES became bound by PCT Chapter II on 7 September 1996 and 6 September 1997, respectively, and may, therefore, be elected in a demand or a later election filed on or after 7 September 1996 and 6 September 1997, respectively, regardless of the filing date of the international application. (See second paragraph above.)

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

**CONFIRMATION OF PRECAUTIONARY DESIGNATIONS**

This notification lists only specific designations made under Rule 4.9(a) in the request. It is important to check that these designations are correct. Errors in designations can be corrected where precautionary designations have been made under Rule 4.9(b). The applicant is hereby reminded that any precautionary designations may be confirmed according to Rule 4.9(c) before the expiration of 15 months from the priority date. If it is not confirmed, it will automatically be regarded as withdrawn by the applicant. There will be no reminder and no invitation. Confirmation of a designation consists of the filing of a notice specifying the designated State concerned (with an indication of the kind of protection or treatment desired) and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.

**REQUIREMENTS REGARDING PRIORITY DOCUMENTS**

For applicants who have not yet complied with the requirements regarding priority documents, the following is recalled.

Where the priority of an earlier national, regional or international application is claimed, the applicant must submit a copy of the said earlier application, certified by the authority with which it was filed ("the priority document") to the receiving Office (which will transmit it to the International Bureau) or directly to the International Bureau, before the expiration of 16 months from the priority date, provided that any such priority document may still be submitted to the International Bureau before that date of international publication of the international application, in which case that document will be considered to have been received by the International Bureau on the last day of the 16-month time limit (Rule 17.1(a)).

Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to prepare and transmit the priority document to the International Bureau. Such request must be made before the expiration of the 16-month time limit and may be subjected by the receiving Office to the payment of a fee (Rule 17.1(b)).

If the priority document concerned is not submitted to the International Bureau or if the request to the receiving Office to prepare and transmit the priority document has not been made (and the corresponding fee, if any, paid) within the applicable time limit indicated under the preceding paragraphs, any designated State may disregard the priority claim, provided that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity to furnish the priority document within a time limit which is reasonable under the circumstances.

Where several priorities are claimed, the priority date to be considered for the purposes of computing the 16-month time limit is the filing date of the earliest application whose priority is claimed.

# For your reference

## PATENT COOPERATION TREATY

PCT/JP99/07180

From the INTERNATIONAL BUREAU

**PCT**

### NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

To:

KUSANO, Takashi  
Sagami Building  
2-21, Shinjuku 4-chome  
Shinjuku-ku, Tokyo 160-0022  
JAPON

Date of mailing (day/month/year) 16 February 2000 (16.02.00)	
Applicant's or agent's file reference 11F054	<b>IMPORTANT NOTIFICATION</b>
International application No. PCT/JP99/07180	International filing date (day/month/year) 21 December 1999 (21.12.99)
International publication date (day/month/year) Not yet published	Priority date (day/month/year) 24 December 1998 (24.12.98)
Applicant KORG INCORPORATED et al	

1. The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
2. This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
3. An asterisk(\*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, **the attention of the applicant is directed** to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
4. The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, **the attention of the applicant is directed** to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
24 Dece 1998 (24.12.98)	10/366374	JP	04 Febr 2000 (04.02.00)

<p style="text-align: center;">The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland</p> <p>Facsimile No. (41-22) 740.14.35</p>	<p>Authorized officer</p> <p style="text-align: right;">Taïeb Akremi </p> <p>Telephone No. (41-22) 338.83.38</p>
---	--

**PCT REQUEST**

Draft (NOT for submission) - printed on 25.07.2000 09:55:18 AM

<b>0</b>	<b>For receiving Office use only</b>	
<b>0-1</b>	International Application No.	
<b>0-2</b>	International Filing Date	
<b>0-3</b>	Name of receiving Office and "PCT International Application"	
<b>0-4</b>	<b>Form - PCT/RO/101 PCT Request</b>	
<b>0-4-1</b>	Prepared using	<b>PCT-EASY Version 2.90</b> (updated <del>01.01.2000</del> 15.10.1999)
<b>0-5</b>	<b>Petition</b> The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
<b>0-6</b>	<b>Receiving Office (specified by the applicant)</b>	<b>Japanese Patent Office (RO/JP)</b>
<b>0-7</b>	<b>Applicant's or agent's file reference</b>	<b>11F054US</b>
<b>I</b>	<b>Title of invention</b>	<b>"ACOUSTIC EFFECT APPARATUS AND METHOD AND PROGRAM RECORDED MEDIUM THEREFOR"</b>
<b>II</b>	<b>Applicant</b>	
<b>II-1</b>	This person is:	applicant only
<b>II-2</b>	Applicant for	all designated States except US
<b>II-4</b>	Name	KORG INCORPORATED
<b>II-5</b>	Address:	15-12, Shimotakaido 1-chome, Suginami-ku, Tokyo 168-0073 Japan
<b>II-6</b>	State of nationality	JP
<b>II-7</b>	State of residence	JP
<b>II-8</b>	Telephone No.	03-5376-5232
<b>II-9</b>	Facsimile No.	03-3324-1160
<b>III-1</b>	<b>Applicant and/or inventor</b>	
<b>III-1-1</b>	This person is:	applicant and inventor
<b>III-1-2</b>	Applicant for	US only
<b>III-1-4</b>	Name (LAST, First)	MOTOHASHI, Haruhiko
<b>III-1-5</b>	Address:	35-24, Ooizumicho 4-chome, Nerima-ku, Tokyo 178-0062 Japan
<b>III-1-6</b>	State of nationality	JP
<b>III-1-7</b>	State of residence	JP

## PCT REQUEST

Draft (NOT for submission) - printed on 25.07.2000 09:55:18 AM

III-2	Applicant and/or inventor	<b>applicant and inventor</b> <b>US only</b> <b>MORI, Yasuhiko</b> <b>1406-3, Ina,</b> <b>Toride-shi, Ibaraki 302-0026</b> <b>Japan</b> <b>JP</b> <b>JP</b>
III-2-1	This person is:	
III-2-2	Applicant for	
III-2-4	Name (LAST, First)	
III-2-5	Address:	
III-2-6	State of nationality	
III-2-7	State of residence	
III-3	Applicant and/or inventor	<b>applicant and inventor</b> <b>US only</b> <b>NAGASHIMA, Mikio</b> <b>328-568, Kitano-machi,</b> <b>Hachiouji-shi, Tokyo 192-0906</b> <b>Japan</b> <b>JP</b> <b>JP</b>
III-3-1	This person is:	
III-3-2	Applicant for	
III-3-4	Name (LAST, First)	
III-3-5	Address:	
III-3-6	State of nationality	
III-3-7	State of residence	
IV-1	Agent or common representative; or address for correspondence The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	<b>agent</b>  <b>KUSANO, Takashi</b> <b>Sagami Building, 2-21, Shinjuku 4-chome</b> <b>Shinjuku-ku, Tokyo 160-0022</b> <b>Japan</b> <b>03-3350-6456</b> <b>03-5379-7396</b>
IV-1-1	Name (LAST, First)	
IV-1-2	Address:	
IV-1-3	Telephone No.	
IV-1-4	Facsimile No.	
IV-2	Additional agent(s)	
IV-2-1	Name(s)	<b>additional agent(s) with same address as first named agent</b> <b>INAGAKI, Minoru</b>
V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	--
V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<b>JP US</b>

## PCT REQUEST

Draft (NOT for submission) - printed on 25.07.2000 09:55:18 AM

V-5	<b>Precautionary Designation Statement</b> In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	<b>Exclusion(s) from precautionary designations</b>	NONE
VI-1	<b>Priority claim of earlier national application</b>	
VI-1-1	Filing date	24 December 1998 (24.12.1998)
VI-1-2	Number	366374/98
VI-1-3	Country	JP
VI-2	<b>Priority document request</b> The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI-1
VII-1	<b>International Searching Authority Chosen</b>	Japanese Patent Office (JPO) (ISA/JP)
VIII	<b>Check list</b>	number of sheets      electronic file(s) attached
VIII-1	Request	4      -
VIII-2	Description	18      -
VIII-3	Claims	5      -
VIII-4	Abstract	1      -
VIII-5	Drawings	13      -
VIII-7	TOTAL	41
	<b>Accompanying items</b>	paper document(s) attached      electronic file(s) attached
VIII-8	Fee calculation sheet	✓      -
VIII-9	Separate signed power of attorney	✓      -
VIII-16	PCT-EASY diskette	-      diskette
VIII-17	Other (specified):	Request for mailing Priority Document      -
VIII-18	Figure of the drawings which should accompany the abstract	5
VIII-19	Language of filing of the international application	Japanese
IX-1	<b>Signature of applicant or agent</b>	
IX-1-1	Name (LAST, First)	KUSANO, Takashi

**PCT REQUEST**

Draft (NOT for submission) - printed on 25.07.2000 09:55:18 AM

IX-2	Signature of applicant or agent	
IX-2-1	Name (LAST, First)	INAGAKI, Minoru

**FOR RECEIVING OFFICE USE ONLY**

10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/JP
10-6	Transmittal of search copy delayed until search fee is paid	

**FOR INTERNATIONAL BUREAU USE ONLY**

11-1	Date of receipt of the record copy by the International Bureau	
------	--	--